

References: Diamond-Shurman; Notes on the parity conjecture by Dokchiteer

L-functions have all sorts of incarnations, we will focus on two of them:

for $f \in H_k(\Gamma_1(N))$, there is

$$L(f, s) = \sum_{n \geq 1} \frac{a_n}{n^s}$$

where

$$f = \sum_{n \geq 0} a_n q^n$$

remember that $(\frac{f}{z}) \in \Gamma_1(N)$

for E/\mathbb{Q} with conductor N , there is

$$L(E, s) = \prod_p F_p(p^{-s})^{-1}$$

where

we will see in more detail later

$$F_p(t) = \det \begin{pmatrix} \text{id} & \\ & -t \text{Frob}_p^{-1} \end{pmatrix} ((\det E)^*)^{1/2}$$

The "modular functions" side of things is easier to study: one shows that it converges on $\text{Re } s > k$, that it satisfies a functional equation (which allows an analytic continuation on the complex plane), and that it admits an Euler product.

As for $L(E, s)$, it admits an Euler product by design, and we would like to show that it satisfies a functional equation. This can be achieved in a rather roundabout way,

by showing that $\exists f \in S_2(\Gamma_0(N))$ such that $L(f, s) = L(E, s)$; this is one of the many equivalent formulations of modularity.

think of it like this!

it basically boils down to

$a_p(f) = a_p(E) (= p+1 - \#E(\mathbb{F}_p))$ for primes of good red. & more complicated stuff for primes of bad red.

L-functions, modular side

In a way, L-functions are modelled after the Riemann zeta function $\zeta(s) = \sum_{n \geq 1} \frac{1}{n^s}$, and more generally $L(f, s)$ is an instance of a Dirichlet series, which associates to a succession $\{a_n\}$ a series

$$\sum_{n \geq 1} \frac{a_n}{n^s}$$

using that $\sum_{n \geq 1} \frac{1}{n^k}$ converges $\Leftrightarrow k > 1$

Prop: $|a_n| \leq C \cdot n^p$, then $\sum_{n \geq 1} \frac{a_n}{n^s}$ converges uniformly on compact subsets on $\{\text{Re } s > p+1\}$

We know that ζ has the Euler product $\zeta(s) = \prod_p \frac{1}{1-p^{-s}}$, and also $\sum_{n \geq 1} \frac{a_n}{n^s} = \prod_p \sum_{i=0}^{\infty} a_p^i p^{-is}$ for an mult. i.e. $a_{mn} = a_m a_n$ for $\text{gcd}(m, n) = 1$

Remember that ζ satisfies a functional equation, which is better stated using the ξ function:

ξ function:

Def: $\Gamma(s) = \int_0^{\infty} e^{-t} t^{s-1} dt$ is holomorphic for $\text{Re } s > 0$. It is called the gamma function, meromorphic, with poles and satisfies $\Gamma(s+1) = s \Gamma(s)$, which lets us define Γ for $s = -1, -2, \dots$

$$\xi(s) = \zeta(s) \cdot \Gamma(s/2) \cdot \pi^{-s/2}$$

Then, ξ is meromorphic, with poles in $1, 0$ with residues $1, -1$, and satisfies $\xi(s) = \xi(1-s)$.

To prove that, one uses the Mellin transform. $\mathcal{M}(t) = 1 \rightarrow 2\xi(2s)$

Def: For $f: \mathbb{R}_{>0} \rightarrow \mathbb{C}$, one defines its Mellin transform as

$$\hat{f}(s) = \int_0^\infty f(t) t^s dt$$

$t=e^{-u}$ shows that it's basically the Fourier transform of $f(e^{-u})$

whenever the integral converges absolutely. under the appropriate regularity hypotheses

One can prove the following inversion formula:

$$f(t) = \int_{c-i\infty}^{c+i\infty} \hat{f}(s) t^{-s} ds$$

doesn't depend on c

Prop: Let $f \in M_k(\Gamma_1(N))$ and write it as $f = \sum_{n>0} a_n q^n$.

Then, $|a_n| \leq C \cdot n^{k-1}$ and $L(f, s)$ converges for $\text{Re } s > k$.

If moreover $f \in S_k(\Gamma_1(N))$ is a cusp form, then $|a_n| \leq C \cdot n^{k/2}$ and $L(f, s)$ converges absolutely for $\text{Re } s > \frac{k}{2} + 1$.

Proof: One can write $M_k(\Gamma_1(N)) = S_k(\Gamma_1(N)) \oplus E_k(\Gamma_1(N))$, so it's enough to prove the statement for cusp forms and for Eisenstein series.

For a cusp form $f(q)$, by Cauchy's formula we know that

$$a_n = \frac{1}{2\pi i} \int_{|q|=r} f(q) q^{-n} \frac{dq}{q} = \int_0^1 f(x+iy) e^{-2\pi i n x + 2\pi i n y} dx$$

Pick $y=1/n$, and choose $C > 0$ st. $y^{k/2} |f(z)| \leq C \forall z \in \mathbb{H}$. $\Gamma_1(N) \sim \mathbb{H}$, one can restrict to a fund. domain, and for $y \rightarrow \infty$ it decreases exponentially Finally,

$$|a_n| = \left| \int_0^1 e^{2\pi i n x} f(x+iy) y^{k/2} \cdot y^{-k/2} \cdot e^{-2\pi i n x} dx \right| \leq e^{2\pi} \int_0^1 C \cdot n^{k/2} \cdot |e^{-2\pi i n x}| dx = e^{2\pi} \cdot C \cdot \int_0^1 dx \cdot n^{k/2}$$

For an Eisenstein series, we would need to study them in more detail, which is outside

of our scope. basically, the Fourier coeff is related to $\sigma_{k-1}(n)$, actually $\leq \sigma_{k-1}(n)$, but $\sum_{d|n} d^{k-1} \leq n^{k-1} \sum_{d|n} \frac{1}{d^{k-1}} = \zeta(k-1) n^{k-1}$ this only works for $k \geq 3$

Before proving the functional equation and the Euler product of $L(f, s)$, we need a detour:

Hecke operators, reprise

Remember that we had defined $H(\Gamma, \Delta) = \mathbb{Z}[\Gamma \backslash \Delta / \Gamma]$, with product

$$(\Gamma \alpha \Gamma) * (\Gamma \beta \Gamma) = \sum_{\Gamma \gamma \Gamma} \Gamma \alpha \beta \gamma \Gamma$$

$\Gamma \alpha \Gamma = \mathbb{Z} \Gamma \alpha$, $\Gamma \beta \Gamma = \mathbb{Z} \Gamma \beta$

where

This ring

acted on $\text{Jac}(X(\Gamma))$ via the Hecke operators

operators

It also admits a natural action on $M_k(\Gamma)$, $S_k(\Gamma)$

given by

$$f[\Gamma \alpha \Gamma]_k = \sum_{\Gamma \gamma \Gamma} (\det \alpha)^{k/2} (\alpha \gamma)^{-k} f(\alpha \gamma \Gamma)$$

They are again called Hecke operators. or double coset operators

When $\Gamma = \Gamma_0(N)$, we can in particular consider $[\Gamma \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \Gamma]_k$.

Def: The Fricke involution is the operator $w_N f = f(\Gamma \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \Gamma)_k$.

By an abuse of notation we also write $w_N = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$. Note that w_N normalizes $\Gamma_0(N)$.

$(w_N \begin{pmatrix} a & b \\ c & d \end{pmatrix})^{-1} = \begin{pmatrix} d & -c \\ -b & a \end{pmatrix}$ so $w_N f = f(w_N)_k = N^{k/2} \frac{1}{(N^2)^k} f\left(\frac{-1}{N^2}\right)$
actually, like w_{-1} id

Also, $w_N^2 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$ acts trivially, so $w_N^2 = \text{id}$ as an operator.

For the sake of clarity, let us fix the notation:

\circledast $\{d\}$: we have $\mathbb{Z} \rightarrow \Gamma_2(N) \rightarrow \Gamma_0(N) \rightarrow (\mathbb{Z}/N\mathbb{Z})^* \rightarrow 1$, define $\{d\}f$ to be $f(\Gamma_2(N) \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \Gamma_2(N))_k$.
 It gives an action of $(\mathbb{Z}/N\mathbb{Z})^*$ on $M_k(\Gamma_2(N))$. They of course commute, and give the eigenspace decomposition $M_k(\Gamma_2(N)) = \bigoplus_{\chi} M_k(\Gamma_2(N), \chi)$, where $M_k(\Gamma_2(N), \chi) = \{f \in M_k(\Gamma_2(N)) \mid \{d\}f = \chi(d)f \forall d \in (\mathbb{Z}/N\mathbb{Z})^*\}$.
a normalization

\circledast T_p : again $\Gamma = \Gamma_2(N)$, define $T_p f$ to be $f(\Gamma_2(N) \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \Gamma_2(N))_k$.
 one computes the formula $a_n(T_p f) = a_{np}(f) + \chi_N(p) p^{k-1} a_{n/p}(f)$.
= 0 if $p \nmid n$
 $\chi_N(p) = \begin{cases} 1 & p \nmid N \\ 0 & p \mid N \end{cases}$
in general: $a_n(T_p f) = \sum_{d|pn} d^{k-1} a_{n/d}(f) \chi_N(d)$
() simpler when $f \in M_k(\Gamma_2(N), \chi)$*

One also finds that T_p, T_q commute for $p \neq q$, and also that $\{d\}$ and T_p commute.

\circledast $\{n\}$: define $\{n\}f = 0$ whenever $(N, n) \neq 1$: the $\{n\}$ are completely multiplicative

\circledast T_n : define inductively $T_{p^r} = T_p T_{p^{r-1}} - p^{k-1} \{p\} T_{p^{r-2}}$, and extend multiplicatively to define T_n .
 This is made so that the Euler product $\sum_{n=1}^{\infty} \frac{T_n}{n^s} = \prod_p \frac{1}{1 - T_p p^{-s} + \{p\} p^{k-1-2s}}$ holds.

(hecke)
 Recall that an eigenform is a simultaneous eigenform for all T_n and $\{n\}$. It is normalized when $a_1(f) = 1$.

Prk: for $(N, n) = 1$ the operators in $S_k(\Gamma_2(N))$ commute and are normal for a certain inner product, called the Petersson product. This means that $S_k(\Gamma_2(N))$ can be simultaneously diagonalised. Such an eigenform is then automatically an eigenform for the remaining T_n .
 $\langle f, g \rangle = \int \bar{g} f^k \frac{dx dy}{y^2} / \int \bar{h} h^k \frac{dx dy}{y^2}$
if it's new, $f \in (\)_{\text{new}}$, $T_n f = a_n(f) f \in (\)_{\text{new}}$ but its a_1 is 0 so $T_n f - a_n(f) f \in (\)_{\text{new}}^{\perp} = 0$ see below
(Main Lemma)

Prk: When $a_1(f) = 0$, then in some sense we can obtain f from smaller levels $M_{N'}: we call it an oldform. When f doesn't come from smaller levels, we call it a newform.
we haven't defined precisely what those mean! Basically, when $N' \mid N$ then $f \in S_k(\Gamma_2(N)) \subset S_k(\Gamma_2(N'))$, and we can also do $f \cdot [d]_k$. The space they generate as $d \mid N$ varies is $()_{\text{old}}$, and we set $()_{\text{new}} = (()_{\text{old}})^{\perp}$$

Now fix $f \in S_k(\Gamma_2(N))$ a normalized eigenform. What does this entail?

- \circledast the condition on the $\{d\}$ tells us that $f \in S_k(\Gamma_2(N), \chi)$, where $\chi(d)$ is the $\{d\}$ -eigenvalue
- \circledast the condition on the T_n tells us, by (*), that

$a_1(T_n f) = a_n(f)$.

So the T_n -eigenvalue is $a_n(f)$. In particular, each eigenspace has dimension at most one!

Remember how the T_n were defined! For a normalized eigenform f , one must have

$$\begin{aligned} a_1(f) &= 1, \\ a_m(f) &= a_m(f) \cdot a_n(f) \quad \text{for } (m, n) = 1, \\ a_p(f) &= a_p(f) a_{p-1}(f) - \chi(p) p^{k-1} a_{p-2}(f). \end{aligned}$$

Back to L-functions.

Prop: Let $f \in S_k(\Gamma_2(N), \chi)$ be a normalized eigenform. Then $L(f, s)$ has an Euler product

$$L(f, s) = \prod_p \frac{1}{1 - a_p p^{-s} + \chi(p) p^{k-1-2s}}$$

by construction of the T_n !

In a way, all of this is concocted so that the local factors in $L(f, s)$ and $L(\xi, s)$

correspond: one sees \dots example that $((\mathbb{Z}/p\mathbb{Z})^\times)^{\mathbb{Z}/p\mathbb{Z}}$ is 2-dim $\Leftrightarrow p \equiv 1 \pmod{4}$, in which case

one has already shown that $\det(\text{id} - t \text{Frob}_p^{-1}) = 1 - a_p t + p t^2$, so we would like to

have $k=2, \chi = \chi_4$. which is exactly like saying $f \in S_k(\Gamma_2(N), \chi_4) = S_k(\Gamma_0(N))$

Let's now prove the functional equation that f satisfies. Let $f \in S_k(\Gamma_2(N))$ be a cusp form of weight k (we don't assume it to be an eigenform anymore). we actually need k even

We can compute its Mellin transform to be

$$\int_0^\infty f(it) t^s dt \stackrel{(1)}{=} (2\pi)^{-s} \Gamma(s) L(f, s)$$

some reasoning as in 9.6-1 \rightarrow 2.3(25)

As we did with ξ , we consider $\Lambda(f, s) = N^{s/2} (2\pi)^{-s} \Gamma(s) L(f, s)$, which will satisfy

our functional equation.

Now, consider w_N . It is \dots an involution, $(-1)^k = 1$ so it decomposes and is self-adjoint for the Petersson product

$$S_k(\Gamma_2(N)) = S_k(\Gamma_2(N))^+ \oplus S_k(\Gamma_2(N))^-$$

Theorem: Suppose $f \in S_k(\Gamma_2(N))^\pm$. Then $\Lambda(f, s)$ satisfies

$$\Lambda(s) = \pm i^k \Lambda(k-s).$$

Consequently, $L(f, s)$ has an analytic continuation to the full complex plane

dt/t is scaling invariant, we did a change of var.

$$\begin{aligned} \text{Proof: } \Lambda(s) &= N^{s/2} \int_0^\infty f(it) t^s dt = \int_0^\infty f\left(\frac{it}{N}\right) t^s \frac{dt}{t} = \\ &= \int_1^\infty f\left(\frac{it}{N}\right) t^s \frac{dt}{t} + \int_0^1 i^k (w_N f)\left(\frac{i}{Nt}\right) t^{s-k} \frac{dt}{t} = \int_1^\infty \left(f\left(\frac{it}{N}\right) t^s + i^k (w_N f)\left(\frac{it}{N}\right) t^{k-s} \right) \frac{dt}{t} = \\ &= \int_1^\infty f\left(\frac{it}{N}\right) (t^s \pm i^k t^{k-s}) \frac{dt}{t} = \\ &= \pm i^k \Lambda(k-s). \end{aligned}$$

change of var. $\stackrel{=zf}{=} \dots$

□

$= \text{id}$ enough to consider these $(w_N, T_0) = \{n\mathbb{Z}\}^2$ for $(n, N) = 1$, use the mult. and theorem

Now, if $f \in S_k(\Gamma_0(N))$ newform is given, then it is automatically an eigenform for w_N .

L-functions, geometric side

In a way, the L-function for F was a way to neatly package all of the a_p , for $p \nmid N$, in such a way as to have a Euler product. The information on the a_p for $p \mid N$ is messier, but in the case of a newform (this will be our case), we don't need to worry too much about it.

We want something similar for E , packaging all the information on the $a_p(E) = p+1 - |\tilde{E}(\mathbb{F}_p)|$ when $p \nmid N$, and something messier for $p \mid N$, where $N = N(E)$ is the conductor of E .

Remember how we defined \tilde{E} by $\tilde{F}(x,y) = 0 \pmod p$, when p was a prime of good reduction.

One can do this construction even when \tilde{E} is not an elliptic curve, in which case

there will be a singular point: we distinguish the case where it is a node, where we call p a prime of **multiplicative reduction**, and the case where it is a cusp, where

we call p a prime of **additive reduction**.

$$\begin{aligned} \text{Rem: } p \text{ has mult. red.} &\Leftrightarrow \tilde{E}^{\text{sm}} \cong G_m \text{ over } \mathbb{F}_p \\ p \text{ has add. red.} &\Leftrightarrow \tilde{E}^{\text{sm}} \cong G_a \text{ over } \mathbb{F}_p \end{aligned}$$

Moreover, if E has mult. red. over p , we call it **split multiplicative reduction** if $\tilde{E}^{\text{sm}} \cong G_m$ over \mathbb{F}_p , and **nonsplit multiplicative reduction** otherwise. As it happens, they are in any case isomorphic over \mathbb{F}_{p^2} .

Why does $L(E,s)$ involve such a complicated formula involving the Tate module? First of all,

Def: E/\mathbb{Q} e.c., ℓ a prime. The **ℓ -adic Tate module** of E is given by

$$T_\ell E = \varprojlim_{\mathbb{Z}} E[\ell^n], \leftarrow \text{free } \mathbb{Z}_\ell\text{-mod of rank 2}$$

$$V_\ell E = T_\ell E \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$$

$T_\ell E$ has a natural structure of $\mathbb{Z}_\ell[\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})]$ -module, meaning that $V_\ell E$ is a representation of $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$, called the ℓ -adic representation associated to E/\mathbb{Q} .

Now if $p \neq \ell$, we can consider the absolute inertia group $I_p = \ker(\mathcal{I}_p \rightarrow \text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p))$, then a lifting of the Frobenius element of $\text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p)$ is unique up to conjugation by I_p , let Frob_p be a representative.

If p is a prime of good reduction, we find that $T_{\mathbb{Z}} \tilde{E} \hookrightarrow (T_{\mathbb{Z}} E)^{\mathbb{F}_p}$ must be an isomorphism, so the rank of $(T_{\mathbb{Z}} E)^{\mathbb{F}_p}$ is 2, and Frob_p acts like in $T_{\mathbb{Z}} \tilde{E}$, with char. pol. $t^2 - a_p(E)t + p$.
reduced mod p always true
so \mathbb{F}_p acts trivially
ind. of $E!$
 A converse holds as well, and much more:

Theorem (Néron-Ogg-Shafarevich criterion): E/\mathbb{Q} , $p \neq \ell$. Then

- i) E has good red. at $p \Leftrightarrow T_{\mathbb{Z}} E$ unramified
- ii) E has good or mult. red. at $p \Leftrightarrow \text{rk}((T_{\mathbb{Z}} E)^{\mathbb{F}_p}) \geq 1$.

At this point, the definition of $L(E, s)$ will make more sense.

Def. E/\mathbb{Q} e.c. For each p prime, choose $\ell \neq p$, and define

$$F_p(t) = \det(\text{id} - \text{Frob}_p^{-1} t (N_{\ell} E)^{\mathbb{F}_p}),$$

$$L(E, s) = \prod_p F_p(p^{-s})^{-1}$$

It follows immediately from our previous criterion that $F_p(t)$ has degree 2 $\Leftrightarrow E$ has good red. at $p \Leftrightarrow p \nmid N$, in which case $F_p(p^{-s}) = 1 - a_p(E)p^{-s} + p^{1-2s}$. It follows that $L(E, s)$ looks like $\prod_p \frac{1}{1 - a_p(E)p^{-s} + p^{1-2s}}$ except for a finite number of exceptions, in which case $F_p(t)$ will have degree 1 or 0.

Fact:
 • if E has split mult. red. at p , then $F_p(t) = 1 - t$,
 • if E has nonsplit mult. red. at p , then $F_p(t) = 1 + t$,
 • if E has add. red. at p , then $F_p(t) = 1$.

One of the equivalent formulations of E being modular is that there is a newform $f \in S_2(\Gamma_0(N))$ such that $L(E, s) = L(f, s)$, which is equivalent to $a_p(f) = a_p(E) \forall p$. This in turn implies that E satisfies a functional equation

$$\Lambda(E, s) = -\epsilon_f \Lambda(E, 2-s),$$

where we have called $w_N f = \epsilon_f f$.

Fricke involution and Heegner points

Remember that $Y_0(N)$ parametrizes pairs (E, C) , $C \cong \mathbb{Z}/N\mathbb{Z}$. We defined

$x_n \in Y_0(N)$ like this: choose $\mathcal{O} = \mathcal{O}_n$, n squarefree, $(N, n) = 1$,
remember that $\mathcal{C}(\mathcal{O}) \cong \text{Ell}(\mathcal{O})$
 then $x_n = \left(\frac{\mathbb{C}}{\mathcal{O}}, \frac{\mathbb{Z}}{\mathcal{O}} \right)$.

More generally, we can consider $\left(\frac{\mathbb{C}}{\mathfrak{a}}, \frac{\mathfrak{a}N}{\mathfrak{a}} \right)$, which then depends on the choice

of $\mathfrak{a} \in \mathcal{C}(\mathcal{O})$, $N \mid N$. We use the notation $(\mathcal{O}, n, \mathfrak{a})$ to refer to this point.

By Heegner's condition, when $\mathcal{O} = \mathcal{O}_K = \mathcal{O}_k$, then choosing n_1 amounts to

picking a prime $p \mid p \nmid N$.

Now, by the bijection $\gamma_0(N) = \mathbb{Z}/f_0(N) \cong \{(\mathbb{E}, C)\} / \sim$ we know that we can choose $\{\omega_1, \omega_2\} = \alpha$ oriented basis s.t. $\frac{\alpha N^{-1}}{a} = \{\frac{\omega_2}{N}\}$, which means that $\{\omega_1, \frac{\omega_2}{N}\} = \alpha N^{-1}$.

We also know that $w_N \frac{\mathbb{C}}{\{\omega_1, \omega_2\}} = \frac{\mathbb{C}}{\{-\omega_2, N\omega_1\}}$, but now observe that $\frac{\mathbb{C}}{\{-\omega_2, N\omega_1\}} = \frac{\mathbb{C}}{\{N\omega_1, \omega_2\}}$, which is homothetical to $\frac{\mathbb{C}}{\{\omega_1, \frac{\omega_2}{N}\}} = \frac{\mathbb{C}}{\alpha N^{-1}}$.

Likewise one finds that $\frac{\mathbb{C}}{\{-\omega_2, \omega_1\}}$ is the same e.c. as $\frac{\mathbb{C}}{a}$.

Putting everything together, we find that

$$w_N(\mathcal{O}, N, \alpha) = (\mathcal{O}, N, \alpha N^{-1}),$$

indeed we've seen that $w_N \frac{\mathbb{C}}{a} = \frac{\mathbb{C}}{\alpha N^{-1}}$, and the N -cyclic group is described by

$$\frac{\mathbb{C}/\alpha N^{-1}}{\mathbb{C}/a} \cong \frac{a}{\alpha N^{-1}} \cong \frac{\alpha N^{-1} (N^{-1})}{\alpha N^{-1}}$$

after observing that $N^c = N^{-1}$ in $\mathcal{O}(\mathcal{O})$.